Purpose

This policy establishes the Santa Fe Institute Responsible Conduct of Research (RCR) Training Program. This policy is based on requirements of federal funding agencies, the National Institutes of Health, and the National Science Foundation, as specified in the documents listed in the references section of this policy.

Statement

Training in RCR is required for applicable individuals, as identified in the Applicability section below.

The RCR training plan must meet the regulatory or agency requirements. (For implementation, see GM08.2 Responsible Conduct of Research Procedures.)

The RCR training plan must describe the format, subject matter, faculty participation, duration, and frequency. (See GM08.1 Plan for Responsible Conduct of Research Training for basic RCR Plan.)

Applicability

NSF:

• Undergraduate students, graduate students, and postdoctoral researchers receiving salary and/or stipend support to conduct research on NSF grants awarded to the Santa Fe Institute.
• Sub-awardees if there are undergraduates, graduates, or postdoctoral researchers supported on the NSF award to the Santa Fe Institute.
• Students receiving NSF scholarship or stipend support to engage in research, or if conducting research is included in their NSF-supported academic program at the Santa Fe Institute. This includes students who receive NSF Graduate Research Fellowships.

NIH:

• Trainees, fellows, participants, and scholars receiving support through any NIH training, career development award (individual or institutional), research education grant, and dissertation research grant.
• Individuals identified in any other NIH-funded program supporting research, research training, career development, or research education that requires instruction in RCR as stated in the relevant funding opportunity announcement.

Definitions

Responsible Conduct of Research (RCR) is the practice of scientific investigation with integrity. It involves the awareness and application of established professional norms and ethical principles in the performance of all activities related to scientific research. [NIH Notice NOT-OD-10-019, Nov.24, 2009]
SFI Policy – Training in Responsible Conduct of Research

References

NIH, “Update on the Requirement for Instruction in the Responsible Conduct of Research”, Notice Number: NOT-OD-10-019, November 24, 2009

Section 7009, America COMPETES Act, 42 USC 1862o-1

“NSF’s Implementation of Section 7009 of the America COMPETES Act”, Federal Register: August 20, 2009, 74(160): 42126-42128

NSF “Responsible Conduct of Research (RCR) Frequently Asked Questions”, March 3, 2010

Related Policies and Procedures

GM08.1 Plan for Responsible Conduct of Research Training (template)

GM08.2 Responsible Conduct of Research Procedures

[Signature]

2/22/11

Authorization

Date

Vice President for Administration

Title